

**FIGURE 1**

Examples of aqueous suspension compositions containing micrometer or submicrometer size particles of 9-nitrocamptothecin suitable for intravenous injection.

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
Sample Identification	9-Nitrocamptothecin (g)	Lipoid E80 (g)	Mannitol (g)	Trehalose (g)	Water (g)	Aq. Na Acetate* (g)	Batch size (g)	Diluent**	Dilution Factor	9-Nitrocamptothecin Concentration, mg/g	Lipoid E80 Concentration, mg/g	Mannitol Concentration, mg/g	Trehalose Concentration, mg/g	pH	Mean Size*** (m)	Size: 99.9% (m)
1-A	0.252	1.051	2.74		41.5	5.0	50.6	MAN**	2.5	1.99	8.3	55.0		5.6	1.93	6.52
1-B	0.253	1.001	2.75		41.1	5.0	50.1	MAN	2.5	2.02	8.0	55.0		5.7	1.02	2.47
1-C	0.250	2.001	2.76		40.0	5.0	50.0	MAN	2.5	2.00	16.0	55.0		5.8	0.96	2.44
1-D	0.259	2.510		6.0	36.3	5.0	50.0	TRE**	2.5	2.07	20.1		120.0	5.9	0.15	0.87
1-Ea	0.250	5.000		6.0	33.8	5.0	50.1	TRE	2.5	2.00	40.0		121.3	6.0	0.07	0.22
1-Eb								TRE	2.5	2.00	40.0		241.3	6.0	0.07	0.20
1-Ec								TRE	2.5	2.00	40.0		361.3	6.0	0.27	2.00
1-F	1.256	25.1		15.0	71.5	12.5	125.4	TRE	5.0	2.00	40.0		240.5	5.0	1.29	2.80
1-V		16.0		9.6	46.4	8.0	80.0	TRE	5.0		4.0		239.7	4.8	0.07	0.01

- \* Aq. Na Acetate: 20 mM sodium acetate solution in water with sodium hydroxide added to adjust pH to 5.0.
- \*\* Diluent Aqueous solution containing mannitol (MAN) or trehalose (TRE) and sodium acetate in sufficient quantity to give the final concentration of sodium acetate of 2 mM and that of other ingredients as shown in columns 11-14 of Table 1.
- \*\*\* Mean Size Volume weighted mean particle diameter ( $D_{4,3}$ ) in micrometers determined by a Malvern Mastersizer Microplus apparatus.
- \*\*\*\* Size: 99.9% 99.9% of the particle population is smaller than this volume weighted particle diameter as determined by a Malvern Mastersizer Microplus apparatus.



RECEIVED  
JUL 28 2003  
TECH CENTER 1600/2900

FIGURE 2

Stability of an aqueous suspension formulation of 9-nitrocamptothecin stored at 4°C, 25°C, and 40°C for up to 170 days.			
Storage Temperature and Duration	Volume weighted particle diameter, micrometers		Appearance
	Mean	99.9 percentile	
Initial	1.29	2.80	Homogeneous yellow suspension, crystalline particles were observed in optical microscope under polarized light with a size distribution consistent with the measured size.
Stored at 4°C for 170 days	1.27	3.00	Small amounts of sediments were observed in the vial that were easily resuspendible to a homogeneous yellow suspension. Crystalline particles were observed in optical microscopic examination under polarized light with a size distribution consistent with the measured size. No agglomerates were found
Stored at 25°C for 170 days	1.20	2.91	
Stored at 40°C for 170 days	1.31	4.78	



RECEIVED  
JUL 28 2003  
TECH CENTER 160012900

**FIGURE 3**

IDD-D particle diameters in micrometers as a function of stress conditions.						
	Initial Particle Size	1. Stress Condition				
		Storage at 2-8°C	Storage at 20°C	Storage at 40°C	4-40°C Cycling	Shaking
Test Duration	Day 0	Day18	Day18	Day18	Cycle3	Day3
Mean (volume weighted)	0.20 $\mu\text{m}$	0.19 $\mu\text{m}$	0.18 $\mu\text{m}$	0.17 $\mu\text{m}$	0.19 $\mu\text{m}$	0.20 $\mu\text{m}$
99.9 Percentile	0.34 $\mu\text{m}$	0.34 $\mu\text{m}$	0.31 $\mu\text{m}$	0.31 $\mu\text{m}$	0.33 $\mu\text{m}$	0.33 $\mu\text{m}$

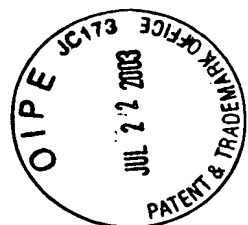


FIGURE 4

Protocol Design For First Melanoma Xenograft Study

Group	n	Treatment Regimen I			
		Agent	mg/kg	Route	Schedule
1	10	No Treatment	n/a	n/a	
2	10	IDD-P(1:3 dilution)	n/a	iv	5/2/5
3	10	IDD-D(nodilution)	n/a	iv	5/2/5
4	10	D5W-with 3%DMA	n/a	po	Day 1,4,8,11
5	10	CAMPTOSAR	100	ip	QWKx3
6	10	HYCAMTIN	10	ip	Q4Dx4
7	10	DTIC	150	ip	QDx5
8	10	9NC-IDD-P	3	iv	5/2/5
9	10	9NC-IDD-P	1.5	iv	5/2/5
10	10	9NC-IDD-D	2	iv	5/2/5
11	10	9NC-IDD-D	1	iv	5/2/5
12	10	9NC-D5W-3%DMA	4	po	Day 1,4,8,11
13	10	9NC-D5W-3%DMA	2	po	Day 1,4,8,11

RECEIVED  
JUL 28 2003  
TECH CENTER 1600/2900



FIGURE 5

Treatment Response Summary For First Melanoma Xenograft Study

Group	n	TreatmentRegimen				MDS to 2.0g ±SEM(n)	Max. %BW Loss; Day	#Death <sup>a</sup>		#CR	#PR	#SD/PD
		Agent	mg/kg	Route	Schedule			TR	NTR			
1	10	No Treatment	n/a	n/a		30.3 ± 4.4 (9)	---	0	0	0	0	1
2	10	IDD-P(1:3 dilution)	n/a	iv	5/2/5	29.2 ± 2.5 (10)	---	0	0	0	0	0
3	10	IDD-D(modulation)	n/a	iv	5/2/5	31.6 ± 3.2 (10)	-0.4%; Day 27	0	0	0	0	0
4	10	D5W with 3% DMA	n/a	po	Day 1, 4, 8, 11	26.0 ± 2.3 (8)	---	0	1	1	0	0
5	10	CAMPTOSAR	100	ip	QWKx3	47.3 ± 2.3 (9)	---	0	0	1	0	0
6	10	HYCAMTIN	10	ip	Q4Dx4	46.7 ± 2.6 (9)	---	0	0	1	0	0
7	10	DTIC	150	ip	QDx5	37.6 ± 4.0 (8)	-5.2%; Day 5	1	0	1	0	0
8	10	9NC-IDD-P	3	iv	5/2/5	52.0 ± 0.6 (2)	-13.1%; Day 13	3	0	1	3	1
9	10	9NC-IDD-P	1.5	iv	5/2/5	50.7 ± 3.4 (7)	-2.2%; Day 5	0	0	2	0	1
10	10	9NC-IDD-D	2	iv	5/2/5	47.2 ± 3.6 (8)	---	0	0	1	1	0
11	10	9NC-IDD-D	1	iv	5/2/5	32.6 ± 2.3 (10)	---	0	0	0	0	0
12	10	9NC-D5W-3% DMA	4	po	Day 1, 4, 8, 11	45.3 ± 7.0 (3)	---	0	0	4	0	3
13	10	9NC-D5W-3% DMA	2	po	Day 1, 4, 8, 11	47.6 ± 3.4 (3)	---	0	0	4	1	2

<sup>a</sup>#Death: TR (Treatment Related); NTR (Non-Treatment Related)



# Scatter Plot of Survival Times for Mice in First Melanoma Xenograft Study

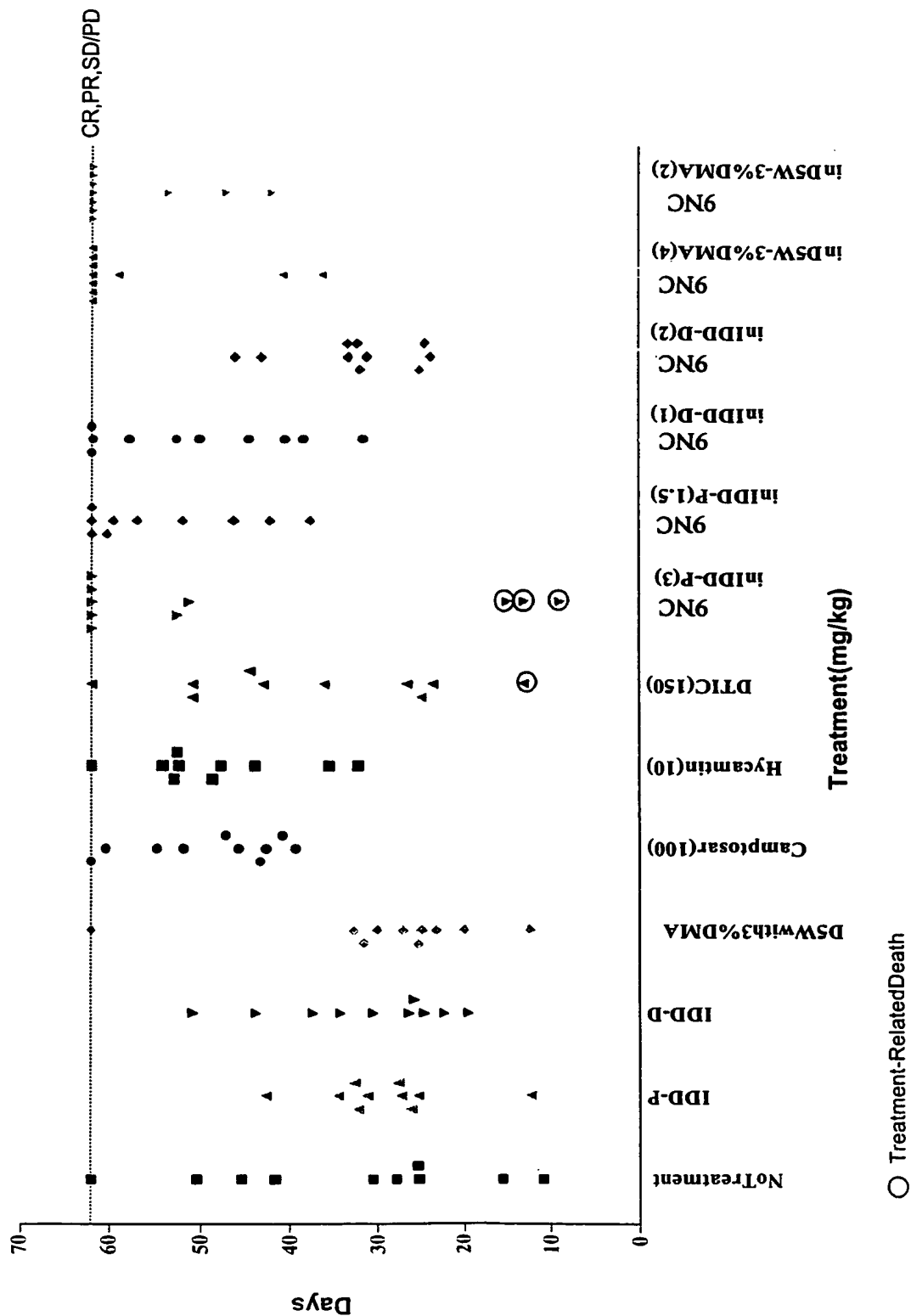
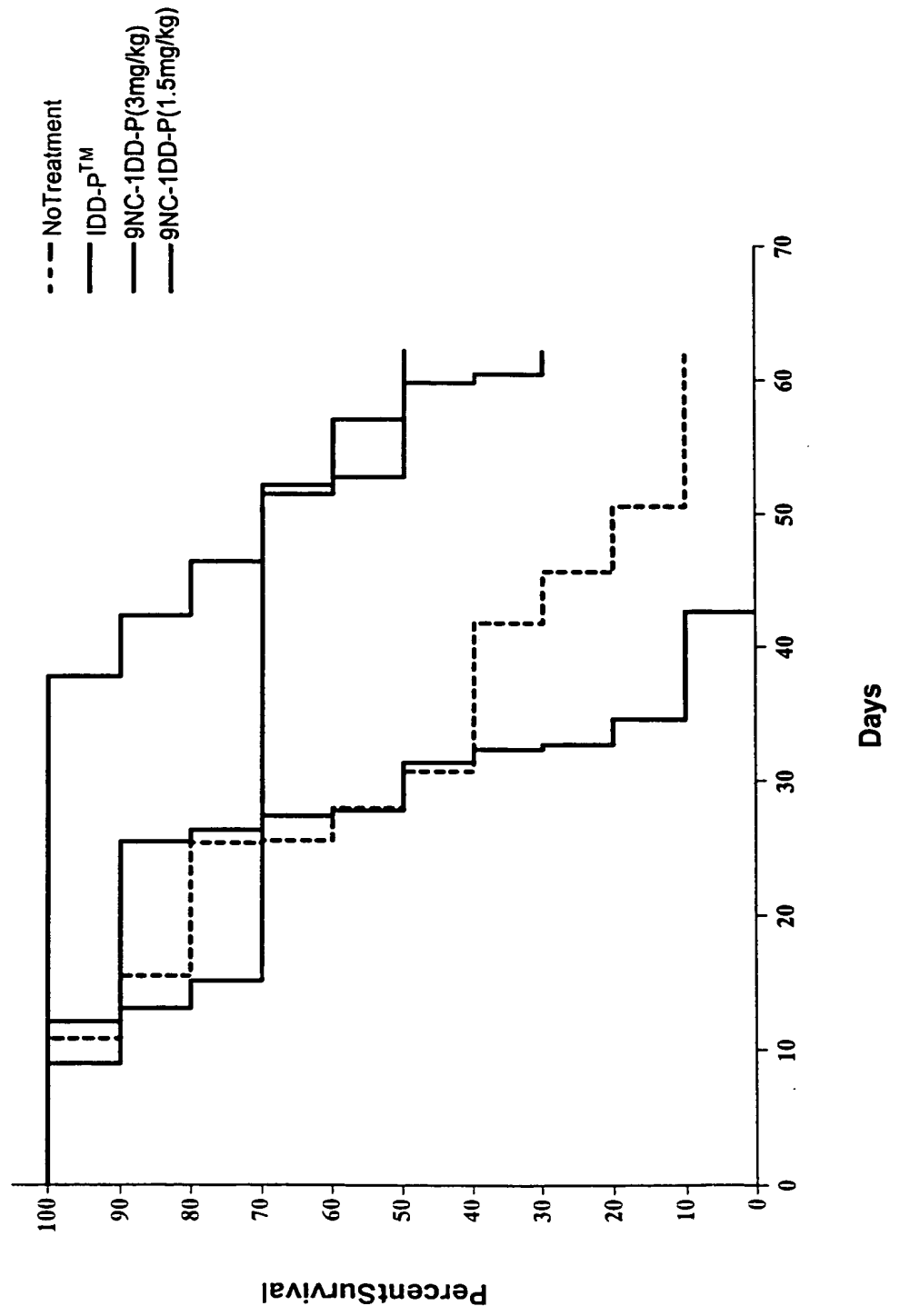




Figure 7



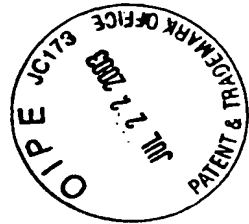
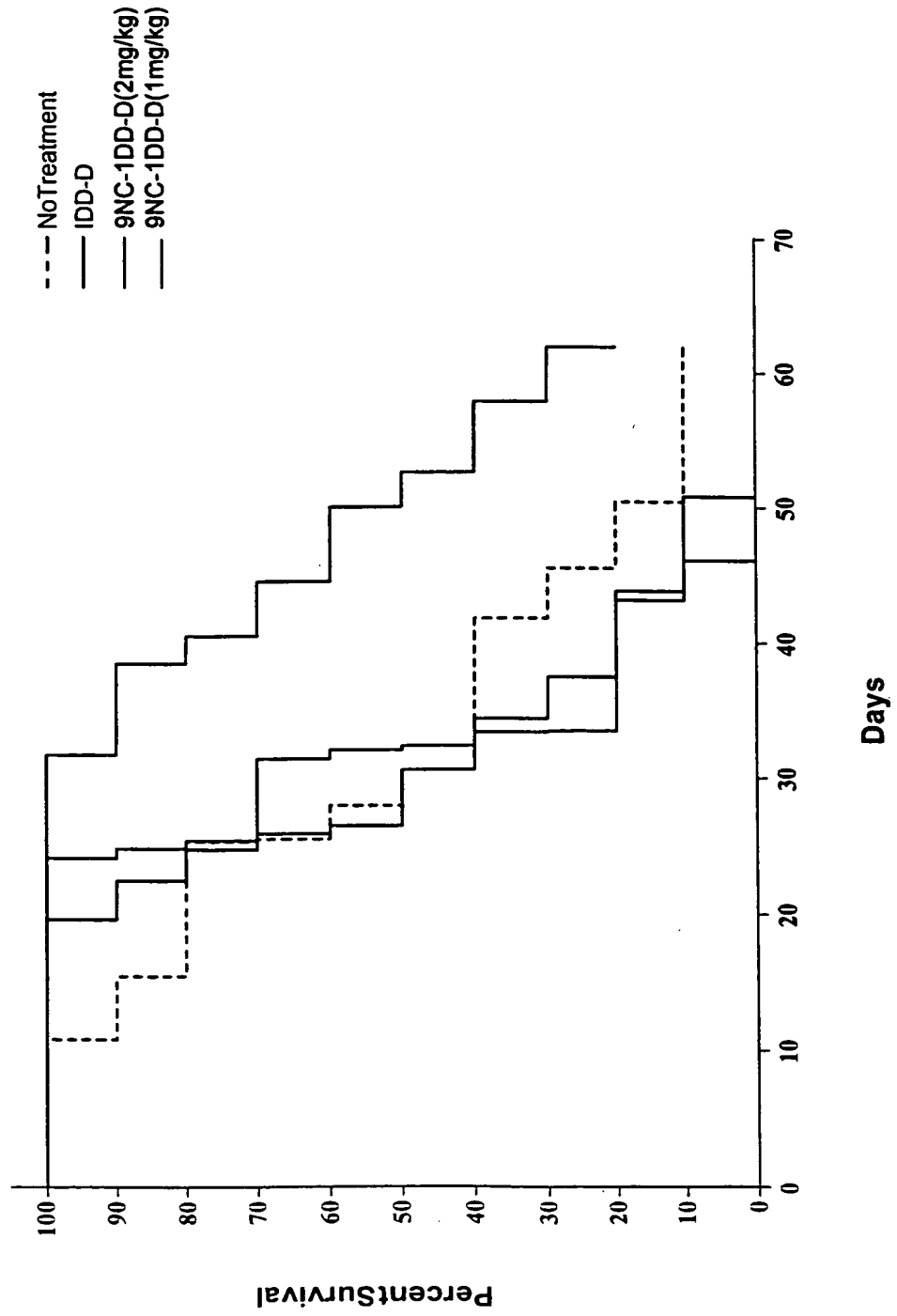


Figure 8





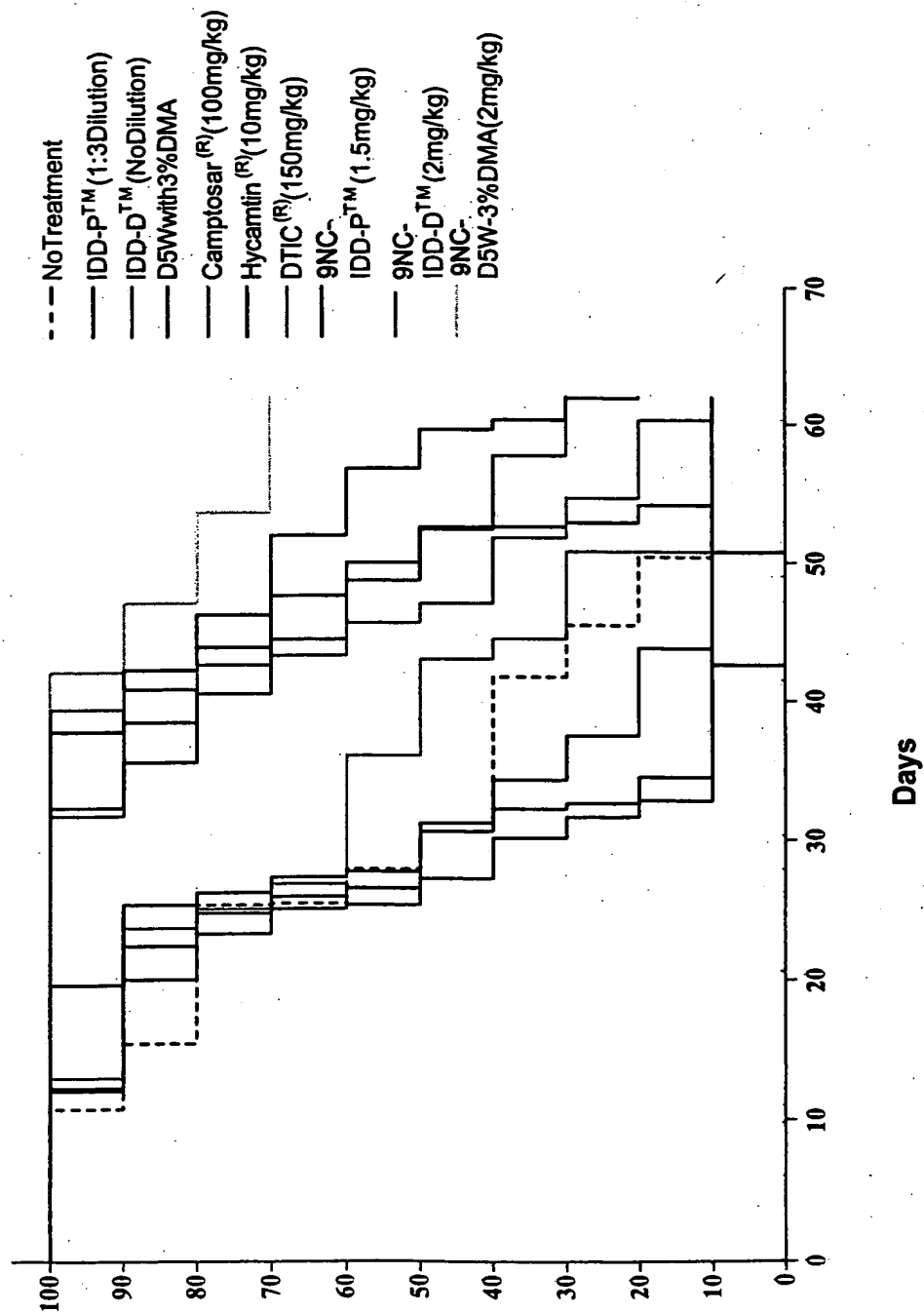
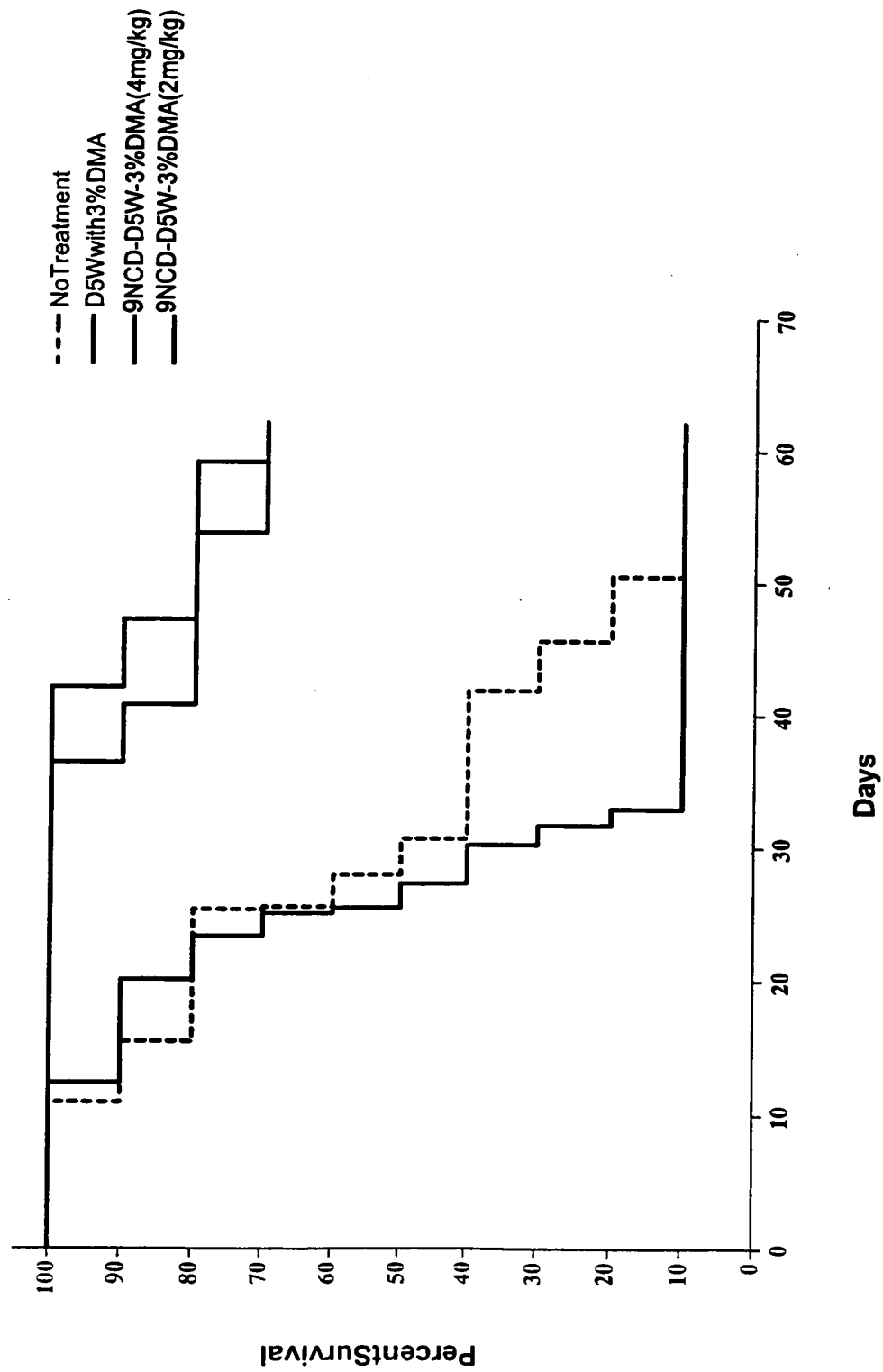




FIGURE 10



RECEIVED  
JUL 28 2003  
TECH CENTER 1600/2000

S/2/5 + 5 daily dose, 2 days rest, 5 daily  
Qwkx3 one dose per week for 3 weeks  
Q4Dx4 four doses per day at four day intervals  
QDx5 one dose per day for 5 days

Treatment	Mean (days)	+/- SEM	P vs no treatment	Initial n/n reaching 2 g or surviving to day 62
No treatment	20.5	3.0	---	10/10
IDD-P vehicle iv (5/2/5)	17.3	3.6	0.32	10/10
IDD-D vehicle iv (5/2/5)	18.0	5.4	0.48	10/10
DSW with DMA (5/2/5)	15.2	1.0	0.12	10/9
Campiosar 100mg/kg ip (Qwkx3)	39.7	3.2	0.0004	10/10
Hycamtin 10 mg/kg ip (Q4Dx4)	39.9	2.9	0.0002	10/10
DTIC 150 mg/kg ip (QDx5)	31.3	4.6	0.06	10/9
9NC-in IDD-P 3 mg/kg iv	56.2	3.1	<<<0.0005	10/7
9NC-in IDD-P 1.5 mg/kg iv	45.5	3.7	<<<0.0005	10/10
9NC-in IDD-D 2mg/kg iv	41.9	3.1	<<<0.0005	10/10
9NC-in IDD-D 1 mg/kg iv	26.1	1.4	0.11	10/10
9NC 4 mg/kg oral	54.1	3.8	<<<0.0005	10/10
9NC 2 mg/kg oral	54.6	3.1	<<<0.0005	10/10

FIGURE 11



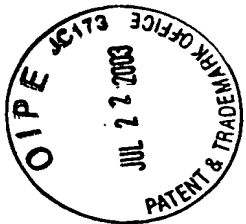
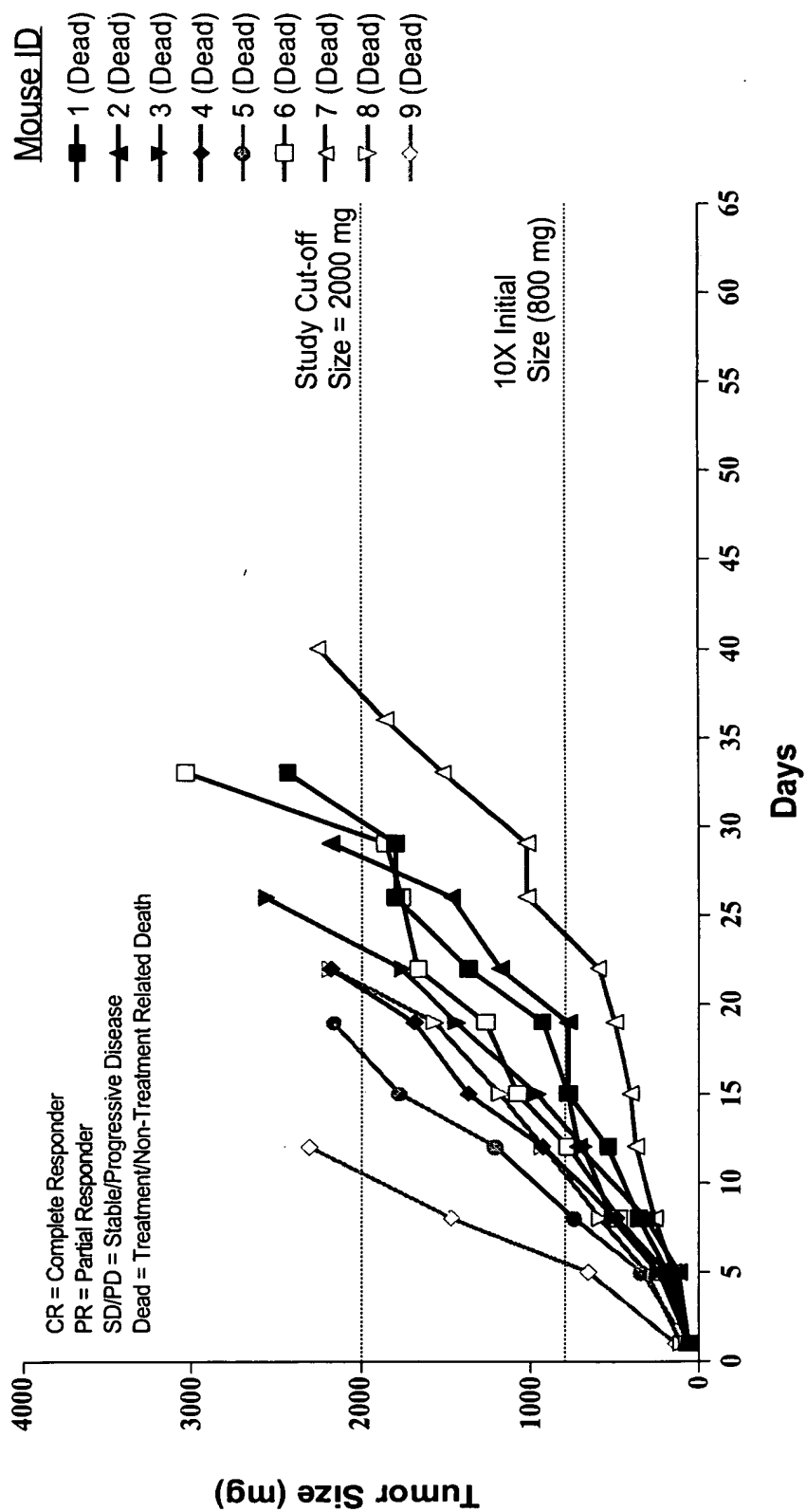


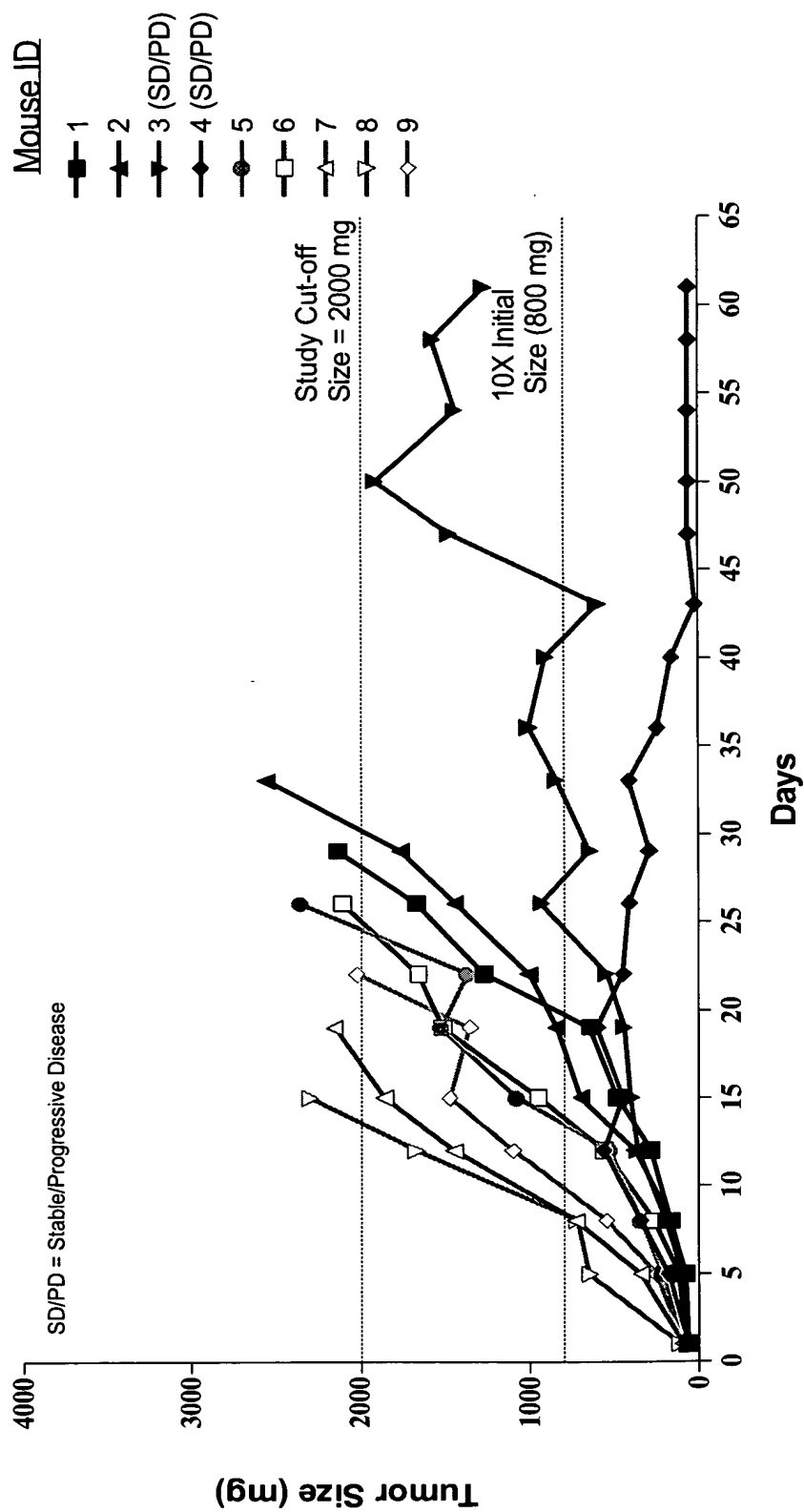
FIGURE 12A

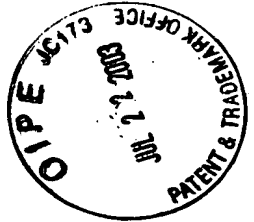
Group 1 Of Second Melanoma Study



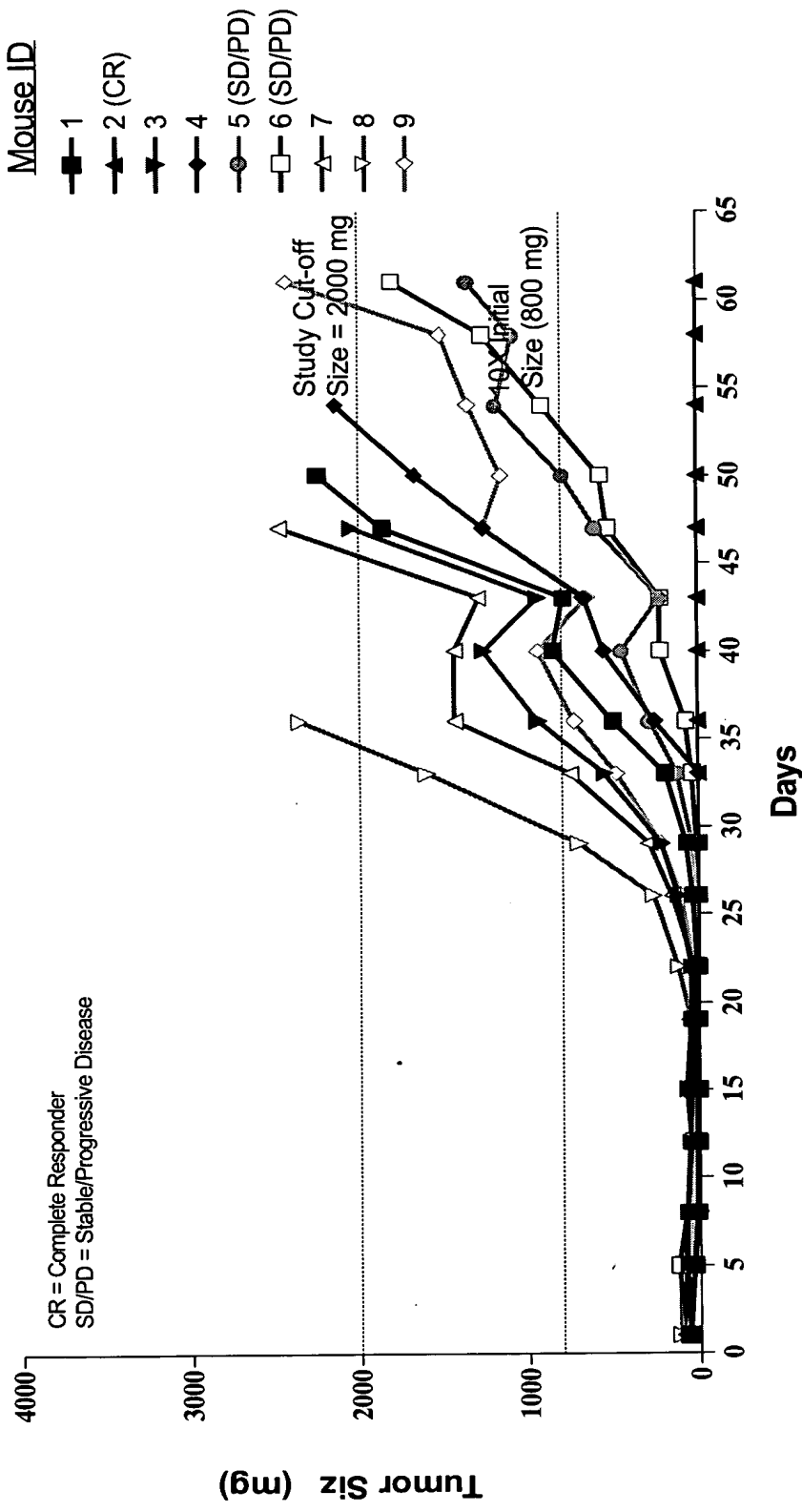


## Group 2 of Second Melanoma Study



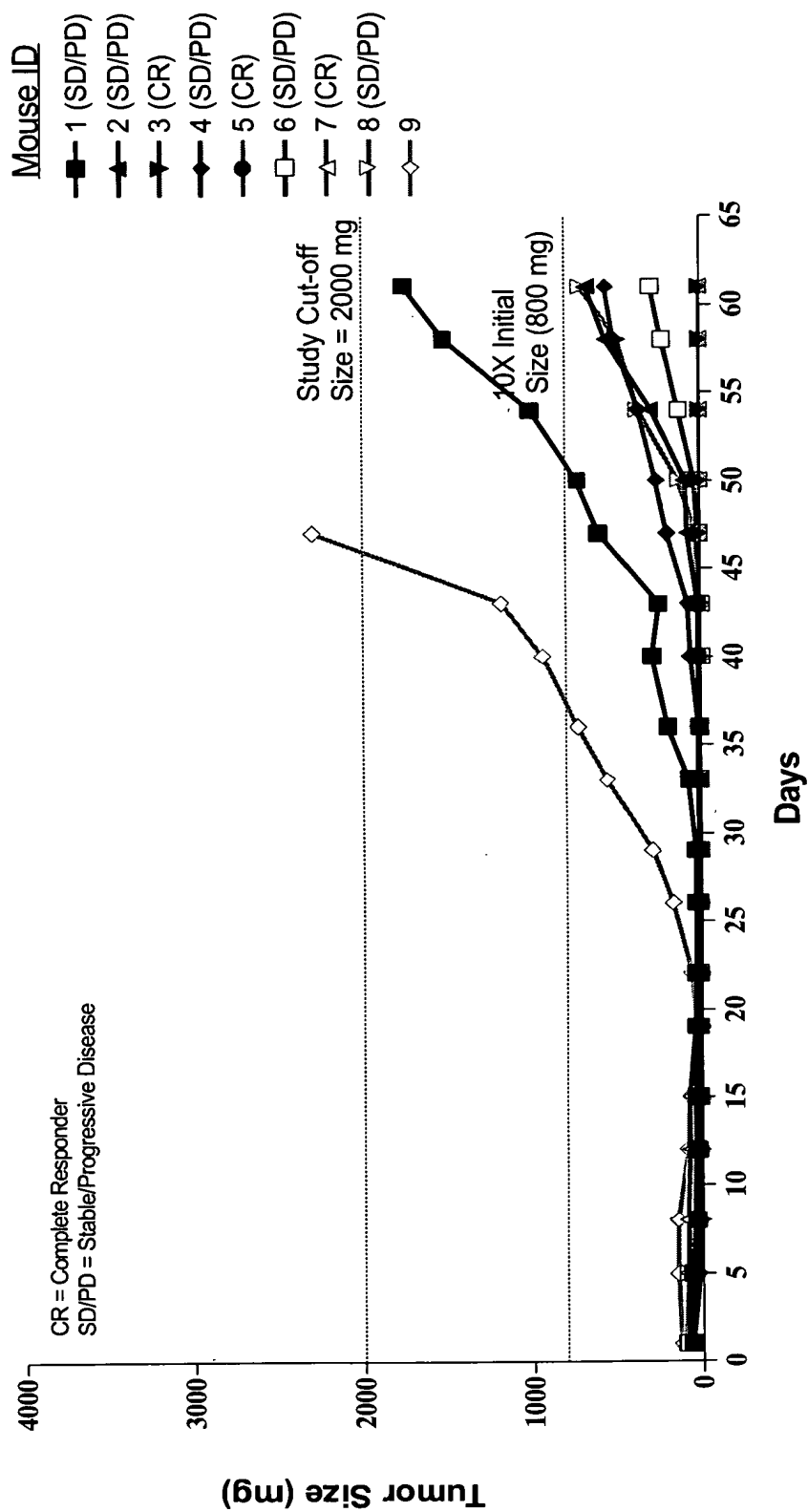


**FIGURE 12C**  
**Group 3 of Second Melanoma Study**





## Group 5 of Second Melanoma Study





**FIGURE 13**

**MX-1 Human Breast Cancer Xenograft Study**

Treatment	Schedule	Mean Days to 10 X	S.E.M	P vs. no treatment	# of mice at start/ # mice reaching 10x
No treatment		17.4	2.23	--	10/10
IDD-P vehicle i.v.	5/2/5	16.5	1.1	n.s	10/10
9NC in IDDP 2.5 mg/kg i.v.	5/2/5	53.0	0.0	<<0.05	10/10
9NC in IDDP 1.75 mg/kg i.v.	5/2/5	53.0	0.0	<<0.05	10/10
9NC in IDDP 1.25 mg/kg i.v.	5/2/5	47.5	2.1	<<0.05	10/10.
Camptosar 100 mg/kg i.p..	QWK x 3	53.0	0.0	<<0.05	10/10
Hycamtin 10 mg/kg i.p.	Q4D x 4	53.0	0.0	<<0.05	10/10





FIGURE 14

**Pan 1- Human Pancreatic Cancer Xenograft Study**

Treatment	Schedule	Mean Days to 10 X	S.E.M	P vs. no treatment	# of mice at start/ # mice reaching 10x
No treatment		19.5	1.6	--	10/10
IDD-P vehicle i.v.	5/2/5	20.6	1.3	n.s	9/9
9NC in IDDP 2.5 mg/kg i.v.	5/2/5	34.3	2.0	<<0.05	10/10
9NC in IDDP 1.75 mg/kg i.v.	5/2/5	25.7	1.3	<0.01	10/10
9NC in IDDP 1.25 mg/kg i.v.	5/2/5	24.6	1.0	=.01	10/10.
Camptosar 100 mg/kg i.p..	QWK x 3	30.5	3.9	<0.05	10/10
Hycamtin 10 mg/kg i.p.	Q4D x 4	30.6	1.5	<<0.05	10/10



**FIGURE 15**

**HT-29 Human Colon Cancer Xenograft Study**

Treatment	Schedule	Mean Days to 10 X	S.E.M	P vs. no treatment	# of mice at start/ # mice reaching 10x
No treatment		26.9	2.0	--	8/8
IDD-P vehicle i.v.	5/2/5	29.4	1.6	n.s	8/8
9NC in IDDP 2.5 mg/kg i.v.	5/2/5	34.0	1.8	<0.05	8/8
9NC in IDDP 1.75 mg/kg i.v.	5/2/5	34.5	2.0	<0.05	9/9
9NC in IDDP 1.25 mg/kg i.v.	5/2/5	38.1	3.6	<0.05	9/9.
Camptosar 100 mg/kg i.p..	QWK x 3	35.7	2.2	<0.01	9/9
Hycamtin 10 mg/kg i.p.	Q4D x 4	34.4	1.5	<0.01	9/9



**FIGURE 16**

**SKMES Human Lung Cancer Xenograft Study**

Treatment	Schedule	Mean Days to 10 X	S.E.M	P vs. no treatment	# of mice at start/ # mice reaching 10x
No treatment		11.7	0.8	--	10/10
IDD-P vehicle i.v.	5/2/5	14.6	1.0	0.03	10/10
9NC in IDDP 2.5 mg/kg i.v.	5/2/5	27.3	1.6	<<0.05	10/10
9NC in IDDP 1.75 mg/kg i.v.	5/2/5	29.4	2.2	<<0.05	10/10
9NC in IDDP 1.25 mg/kg i.v.	5/2/5	35.2	5.7	<0.05	10/10.
Camptosar 100 mg/kg i.p..	QWK x 3	35.2	4.4	<<0.05	10/10
Hycamtin 10 mg/kg i.p.	Q4D x 4	33.6	3.6	<<0.05	10/10